
Report To: Inverclyde Integration Joint Board **Date:** 25 January 2021

Report By: Louise Long **Report No:** IJB/07/2020/LA
Corporate Director (Chief Officer)
Inverclyde Health & Social Care
Partnership

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Subject: FINANCIAL MONITORING REPORT 2020/21 – PERIOD TO 31
OCTOBER 2020, PERIOD 7

1.0 PURPOSE

- 1.1 The purpose of this report is to advise the Inverclyde Integration Joint Board (IJB) of the Revenue and Capital Budgets, other Income Streams and Earmarked Reserves position for the current year with a detailed report as at Period 7 to 31 October 2020.

2.0 SUMMARY

- 2.1 The detailed report outlines the financial position at Period 7 to the end of October 2020. The Covid-19 pandemic has created significant additional cost pressures across the Health & Social Care Partnership (HSCP). The figures presented include projected Covid costs and offset against that is confirmed Covid funding. It is anticipated that the balance of actual additional Covid costs will be received from the Scottish Government and funding has been projected on this basis.
- 2.2 The current year-end operating projection for the Partnership includes £8.491m of net Covid-19 costs for which £6.915m of funding has already been confirmed/received. Funding is anticipated but not yet confirmed for the balance of £1.576m. It is expected that this will be covered by the Scottish Government through local mobilisation plans. In the event that there is a shortfall in Scottish Government funding then this would need to be covered by the IJB reserves. The Senior Management Team have undertaken an exercise to examine all reserves with a view to a paper being presented to the January IJB Audit Committee with options should there be any gaps. This review took into account that at Period 7 there is a projected overspend of £0.371m in Social Care core budgets.

The IJB should note that the Audit Committee have received a report on the reserves position and how any 2021/22 local mobilisation plan costs will be covered.

For Health, initial funding has been received but the allocation methodology to HSCPs is still being finalised.

- 2.3 As in previous years, the IJB has financial commitments in place in relation to a net £2.022m spend against its Earmarked Reserves in-year for previously agreed multi-year projects and spend, including the impact of any transfers to/from reserves as a result of anticipated over and underspends.

- 2.4 The Chief Officer and Heads of Service will continue to work to mitigate any projected budget pressures and keep the overall IJB budget in balance for the remainder of the year. It is proposed that as in previous years, any over or underspend is taken from or added to IJB reserves.
- 2.5 The report outlines the current projected spend for the Transformation Fund.
- 2.6 The assets used by the IJB and related capital budgets are held by the Council and Health Board. Planned capital spend in relation to Partnership activity is budgeted as £0.175m for 2020/21 with £0.028m actual spend to date.
- 2.7 The IJB holds a number of Earmarked and General Reserves; these are managed in line with the IJB Reserves Policy. The total Earmarked Reserves available at the start of this financial year were £7.709m, with £0.741m in Unearmarked Reserves, giving a total Reserve of £8.450m. The projected year-end position is a carry forward of £7.239m.
- 2.8 The Health Board formally confirmed funding for the IJB for 2020/21 on 2 November. This funding was in line with the indicative funding confirmed in March with the exception of the notional Set Aside funding which has been uplifted in line with the 2019/20 final outturn and has therefore increased by £3.695m to £27.651m.

3.0 RECOMMENDATIONS

- 3.1 It is recommended that the Integration Joint Board:
 1. Notes the current Period 7 forecast position for 2020/21 as detailed in the report Appendices 1-3 and notes that the projection assumes that all Covid costs in 2020/21 will be fully funded by the Scottish Government,
 2. Notes that in the event that there are any gaps in funding for Covid costs, then the IJB will review the reserves to meet this shortfall,
 3. Notes that a paper on IJB reserves will be reported to the IJB Audit Committee in January 2021;
 4. Approves the proposed budget realignments and virement (Appendix 4) and authorises officers to issue revised directions to the Council and/or Health Board as required on the basis of the revised figures enclosed (Appendix 5);
 5. Approves the planned use of the Transformation Fund (Appendix 6);
 6. Notes the current capital position (Appendix 7);
 7. Notes the current Earmarked Reserves position (Appendix 8), and
 8. Accepts the formal 2020/21 funding offer from NHS GG&C which is in line with the indicative budget approved by the IJB in March 2020.

Louise Long
Corporate Director (Chief Officer)

Lesley Aird
Chief Financial Officer

4.0 BACKGROUND

- 4.1 From 1 April 2016 the Health Board and Council delegated functions and are making payments to the IJB in respect of those functions as set out in the integration scheme. The Health Board have also “set aside” an amount in respect of large hospital functions covered by the integration scheme.
- 4.2 The IJB Budget for 2020/21 was set on 17 March 2020 based on confirmed Inverclyde Council Funding and indicative NHS GG&C funding. On 2 November the NHS confirmed a funding offer for the IJB for the year which was in line with the indicative offer. The only change was in relation to the proposed notional funding for Set Aside which increased by £3.695m to £27.651m linked to the 2019/20 outturn plus agreed 2020/21 uplift; as this is a notional budget, it has no impact on the IJB’s influencable spend this year. The table below summarises the agreed budget and funding together with the projected operating outturn for the year as at 31 October:

	Revised Budget 2020/21 £000	Projected Outturn £000	Projected Over/(Under) Spend £000
Social Work Services	70,280	70,651	371
Health Services	80,197	80,197	0
Set Aside	27,651	27,651	0
HSCP NET EXPENDITURE	178,128	178,499	371
FUNDED BY			
Transfer from / (to) Reserves	(1,914)	(1,543)	371
NHS Contribution to the IJB	127,940	127,940	0
Council Contribution to the IJB	52,102	52,102	0
HSCP FUNDING	178,128	178,499	371
Planned Use of Reserves	(2,022)	(1,211)	
Annual Accounts CIES Position (if anticipated Covid costs aren't covered in full)	(2,022)	(1,211)	

4.3 Updated Finance Position and Forecasting to Year-end

Timelines for Committee paper submission mean that, by necessity, finance reports are often a couple of months old by the time they come to the IJB. To address this, an updated finance summary detailing any significant changes to financial forecasts from the report date to the current period will be provided as part of the monitoring report presentation from the October report onwards each year.

This ensures that the Board continues to receive the full detailed finance pack but is also updated on any substantive changes to the forecast position between the pack date and the meeting date. Appendix 1a details movements in forecasts since the last report. This year the majority of these are Covid-related as the pandemic response changes.

4.4 Covid-19 Mobilisation Plans

Local Mobilisation Plan (LMP) submissions are made regularly through the Health Board to the Scottish Government detailing projected and actual Covid costs on a month to month basis. This report reflects the current projected costs and confirmed income in relation to this.

- 4.5 Appendix 1B details the current projected Covid costs and confirmed income, this ties back with the latest LMP. Projected costs have increased by £0.364m since the

last report due to rephrasing of some forecasts to reflect the pandemic continuing, new costs around flu vaccination clinics, mental health assessment units and community assessment units.

- Projected costs for the year based on the October submission are £8.491m (£5.980m Social Care, £0.033m decrease since the last report due mainly to rephrasing of costs for the full year and £2.511m Health, £0.397m increase since the last report due to additional flu clinic and Covid vaccination costs now included).
- Funding of £6.915m has been confirmed/received (£5.964m for Social Care and £1.221m for Health).
- £0.396m of the Health funding was for Hospices, this has been received and passed to the hospice.
- Further funding for both Health and Social Care is expected.
- The table at the top of Appendix 1B details the projected spend across Social Care and Health on Employee costs, Supplies and Services etc.
- The second table on Appendix 1a shows a summary of the specific areas this spend is projected across.
- Actual costs to 30 November were £4.439m (£2.705m Social Care, £1.734m Health).

4.6 Funding of costs associated with Covid-19 for services delegated to the IJB, will be routed through NHS GG&C and passed through to the IJB to meet costs. Discussions with the Scottish Government continue in relation to this funding. The IJB has provided the Scottish Government with regular updates in relation to forecasted spend for all services and the cost of responding to the pandemic and this will be used by the Scottish Government in assessing future funding needs.

5.0 SOCIAL WORK SERVICES

5.1 The projected Social Care Covid spend is £5.979m for this year with the biggest elements of that being provider sustainability. It is expected that all Covid costs will be funded by the Scottish Government through the remobilisation plan. Assuming all Covid costs are covered by the Scottish Government there is a £0.371million projected overspend for core Social Work services. In line with previous practice it is expected that any year-end overspend would be covered by the IJB free reserve.

5.2 The Social Work budget includes agreed savings of £1.044m. £0.050m of this related to income growth which, as a result of the Covid-19 pandemic, is not expected to be realised. This has been reflected within this report and the Mobilisation Plan which captures all Covid related spend and underspends. The Mobilisation Plan is updated and submitted to the Scottish Government monthly. It is anticipated that the remaining savings will be delivered in full during the year.

5.3 The core social care forecast overspend has decreased by £0.333m since the last report from a projected overspend of £0.704m to a projected overspend of £0.371m with £0.116m being transferred from reserves for Learning Disabilities.

5.4 Appendix 2 contains details of the Social Work outturn position. The main projected variances are linked to Covid. Appendix 2A provides an extract from the Council's report to the Health & Social Care Committee. Other key projected social work budget variances which make up the projected core budget overspend include the following:

Main areas of underspend are:

- A projected underspend of £0.558m within Residential and Nursing Care client commitments as a result of a reduction in the number of beds, projecting at 525 beds for the remainder of the year.
- A £0.419m projected underspend within External Homecare based on the

invoices received, projecting up to the end of the year together with a reduced adjustment of £0.040m, which reflects that service delivery will continue to increase.

- Additional turnover savings being projected across services of £0.240m.

Main areas of overspend are:

- A projected overspend of £0.693m within Learning Disability Client commitments, which is an increase of £0.038m from the position reported to the last Committee, with the increased costs reflecting necessary uplifts in clients' packages relating to increased needs.
- Within Criminal Justice a £0.337m projected overspend as a result of shared client package costs with Learning Disabilities. It is anticipated that these costs will reduce further in 2020/21 and this will be reported to the next Committee, once Officers are able to project with better certainty.
- A projected overspend of £0.389m in Children's Residential Placements, Foster, Adoption and Kinship after full utilisation of the smoothing Earmarked Reserve.

6.0 HEALTH SERVICES

6.1 For Health, Covid spend is projected to be £2.511m for the year with the biggest elements of that being additional staffing costs and support agreed nationally for Hospices. Projected costs have increased by £0.397m since the last report mainly due to additional costs now added for an expanded Flu and Covid Vaccination programmes. The projected outturn for health services at 31 October is a breakeven as a result of £1.798m transferring to Earmarked Reserves as detailed in Appendix 3B. There are further offsetting movements within the Health projection as follows:

- Mental Health – £0.552m projected overspend for the year comprised of £0.767m overspend relating to Inpatients linked to additional staffing and locum costs, partially offset by a £0.215m projected underspend on MH community
- Management & Admin - £0.552m underspend, £0.239m of which relates to early savings delivery and the balance to delays in filling vacancies in-year.

6.2 The total budget pressure for Health for 2020/21 was £1.924m which was covered in full by the 3% funding uplift.

6.3 Mental Health Inpatients

When it was originally established, the IJB inherited a significant budget pressure related to mental health inpatient services due to the high levels of special observations required in that area. Work has been ongoing locally to minimise this pressure. In addition, Mental Health provision across GG&C is under review and it is anticipated that this, together with local work, will address this budget pressure for this and future years.

6.4 At Period 7, the year to date overspend on Mental Health is £0.322m.

6.5 The service has successfully addressed elements of the historic overspend. This budget is closely monitored throughout the year and work will be done to ensure that the underlying budget is sufficient for core service delivery going forward.

6.6 Prescribing

Currently projected as in line with budget with £0.7m transferring to Earmarked Reserves. The final position for the year is still uncertain but is likely to be between £0.7m and £1m underspend which will transfer to reserves. The underspend is due to an increase in tariff swap income for the year and prescribing volumes being lower than anticipated at the start of the year. The projection is based on latest

advice from the prescribing teams. Any overall over or underspend on prescribing will be taken from or transferred to Reserves. It is proposed that £0.4m of the underspend this year is added to the existing Prescribing Smoothing Reserve to cover potential impacts of Brexit on this budget in 2021/22. The prescribing position will continue to be closely monitored throughout the year.

6.7 To mitigate the risk associated with prescribing cost volatility, the IJB agreed as part of this and prior year budgets to invest additional monies into prescribing. However, due to the uncertain, externally influenced nature of prescribing costs, this remains an area of potential financial risk going forward. This year Covid-19 and Brexit have both added to the complexity around forecasting full year prescribing costs.

6.8 GP Prescribing remains a volatile budget; a drug going on short supply and the impacts of Covid and Brexit can have significant financial consequences.

6.9 Set Aside

- The Set Aside budget in essence is the amount “set aside” for each IJB’s consumption of large hospital services.
- Initial Set Aside base budgets for each IJB were based on their historic use of certain Acute Services including: A&E Inpatient and Outpatient, general medicine, Rehab medicine, Respiratory medicine and geriatric medicine.
- Legislation sets out that Integration Authorities are responsible for the strategic planning of hospital services most commonly associated with the emergency care pathway along with primary and community health care and social care.
- The Set Aside functions and how they are used and managed going forward are heavily tied in to the commissioning/market facilitation work that is ongoing

Work is continuing across GG&C around Unscheduled Care to further refine the Set Aside position within GG&C for each HSCP. Further updates will be brought to the IJB as available.

6.10 Alcohol & Drug Recovery Service

There is an underspend on ADRS across both Health and Social Care, this is directly linked to the delay in implementing the finalised Addictions Review linked to covid which led to delays in filling posts and commissioning services.

7.0 VIREMENT AND OTHER BUDGET MOVEMENTS AND DIRECTIONS

7.1 Appendix 4 details the virements and other budget movements that the IJB is requested to note and approve. These changes have been reflected in this report. The Directions which are issued to the Health Board and Council require to be updated in line with these proposed budget changes. The updated Directions linked to these budget changes are shown in Appendix 5. These require both the Council and Health Board to ensure that all services are procured and delivered in line with Best Value principles.

8.0 TRANSFORMATION FUND

8.1 Transformation Fund

The Transformation Fund was set up at the end of 2018/19. The Fund has since been replenished from further year-end underspends. At the beginning of this financial year, the Fund balance was £2.045m. Spend against the plan is done on a bids basis through the Transformation Board. Appendix 6 details the current agreed commitments against the fund. At present there is £0.489m still uncommitted. Proposals with a total value in excess of £0.100m will require the prior approval of the IJB.

9.0 CURRENT CAPITAL POSITION - nil Variance

9.1 The Social Work capital budget is £10.254m over the life of the projects with £0.175m budgeted to be spent in 2020/21. This includes the £0.501m IJB commitment to fund part of the replacement Social Care Records Management system.

9.2 Crosshill Children's Home:

- The former Neil Street Children's Home is in use as temporary decant accommodation for the Crosshill residents.
- The demolition of the existing Crosshill building was completed in Autumn 2018. Main contract works commenced on site in October 2018.
- As previously reported, the contract had experienced delays on site and was behind programme. The Main Contractor (J.B. Bennett) ceased work on site on 25 February 2020 and subsequently entered administration. The site was secured with arrangements made to address temporary works to protect the substantially completed building.
- Following contact with the Administrators, it was confirmed that the Council would require to progress a separate completion works contract to address the outstanding works. A contract termination notice has been issued for the original contract.
- The project consultants have now visited the site to assess the scope of works required for preparation of a completion works contract, final reports have been collated and documents are currently being prepared for tendering.
- Tender issue is anticipated prior to Christmas with a tender return January 2021.
- A revised programme to completion will be advised post tender return.

9.3 New Learning Disability Facility

The project involves the development of a new Inverclyde Community Learning Disability Hub. The new hub will support and consolidate development of the new service model and integration of learning disability services with the wider Inverclyde Community in line with national and local policy. The February 2020 Health & Social Care Committee approved the business case, preferred site (former Hector McNeil Baths) and funding support for the project with allocation of resources approved by the Inverclyde Council on 12 March 2020. The Covid-19 situation impacted the ability to progress the project with the construction industry phased re-start only approved as of mid-June 2020 and with the supply chain and consultants return from furlough. The progress to date is summarised below:

- Additional site information and survey work now substantially complete and further surveys to be planned at the appropriate stage of the design progression.
- Space planning and accommodation schedule interrogation work is being progressed through Technical Services to inform outline design in preparation for wider stakeholder consultation.
- Tenders for Design Consultants have been returned and are being evaluated.
- Work through Legal Services in connection with the re-appropriation of the Hector McNeil site is progressing with the public consultation now closed and a report on the responses being prepared for submission to the relevant Committee. Legal Services will now progress the drafting of the court action required for the next stage of the legal process.

9.4 Swift Upgrade

The project involves the replacement of the current Swift system. In March the Council and IJB approved initial spend of £0.843m for the system, £0.6m from the Council and £0.243m from prudential borrowing funded by the IJB. In September the IJB agreed to increase its contribution to £0.501m, with the additional funds coming from the IJB Transformation Fund. This brings the total estimated cost of

the project to £1.101m. There was a delay going out to tender because of a legal challenge against Scotland Excel and the Covid-19 pandemic. The tender process will recommence early 2021 and it is anticipated the project will be complete by 2023.

10.0 EARMARKED RESERVES

10.1 The IJB holds a number of Earmarked and Unearmarked Reserves; these are managed in line with the IJB Reserves Policy. Total Earmarked Reserves available at the start of this financial year were £7.709m, with £0.741m in Unearmarked Reserves, giving a total Reserve of £8.450m. To date at Period 7, £7.796m of new reserves are expected in year, £1.989m has been spent, projected carry forward at the yearend is £7.239m. Appendix 8 shows all reserves under the following categories. The projected movement in reserves for the year is £1.211m:

	Opening Balance	New Funds in Year	Spend to Date	Projected C/fwd
Ear-Marked Reserves				
Scottish Government Funding - funding ringfenced for specific initiatives	749	4,535	749	0
Existing Projects/Commitments - many of these are for projects that span more than 1 year	3,259	1,293	896	2,443
Transformation Projects - non recurring money to deliver transformational change	2,853	0	319	1,989
Budget Smoothing - monies held as a contingency for specific volatile budgets such as Residential Services and Prescribing to smooth out in year one off pressures	848	54	25	523
TOTAL Ear-Marked Reserves	7,709	5,882	1,989	4,955
General Reserves	741	0	0	741
Planned In Year Transfer to Reserves	0	1,914	0	1,914
In Year Surplus/(Deficit) going to/(from) reserves	0	0	0	(371)
TOTAL Reserves	8,450	7,796	1,989	7,239
Projected Movement (use of)/transfer in to Reserves				(1,211)

11.0 STATUTORY ACCOUNTS COMPREHENSIVE INCOME & EXPENDITURE STATEMENT (CIES)

11.1 The creation and use of reserves during the year, while not impacting on the operating position, will impact the year-end CIES outturn. For 2020/21, it is anticipated that as a portion of the brought forward £8.450m and any new Reserves are used the CIES will reflect a deficit. At Period 7, that CIES deficit is projected to be the same as the projected movement in reserves detailed in Paragraph 10.1 above and Appendix 8.

12.0 DIRECTIONS

12.1 Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	
	2. Inverclyde Council	
	3. NHS Greater Glasgow & Clyde (GG&C)	
	4. Inverclyde Council and NHS GG&C	X

13.0 IMPLICATIONS

13.1 FINANCE

All financial implications are discussed in detail within the report above.

One off Costs

Cost Centre	Budget Heading	Budget Years	Proposed Spend this Report £000	Virement From	Other Comments
N/A					

Annually Recurring Costs / (Savings)

Cost Centre	Budget Heading	With Effect from	Annual Net Impact £000	Virement From	Other Comments
N/A					

LEGAL

13.2 There are no specific legal implications arising from this report.

HUMAN RESOURCES

13.3 There are no specific human resources implications arising from this report.

EQUALITIES

13.4 There are no equality issues within this report.

Has an Equality Impact Assessment been carried out?

	YES (see attached appendix)
√	NO – This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy. Therefore, no Equality Impact Assessment is required.

13.5 How does this report address our Equality Outcomes?

There are no Equalities Outcomes implications within this report.

Equalities Outcome	Implications
People, including individuals from the above protected characteristic groups, can access HSCP services.	None
Discrimination faced by people covered by the protected characteristics across HSCP services is reduced if not eliminated.	None
People with protected characteristics feel safe within their communities.	None
People with protected characteristics feel included in the planning and developing of services.	None
HSCP staff understand the needs of people with different protected characteristic and promote diversity in the work that they do.	None
Opportunities to support Learning Disability service users experiencing gender based violence are maximised.	None

Positive attitudes towards the resettled refugee community in Inverclyde are promoted.	None
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13.6 CLINICAL OR CARE GOVERNANCE IMPLICATIONS

There are no governance issues within this report.

13.7 NATIONAL WELLBEING OUTCOMES

How does this report support delivery of the National Wellbeing Outcomes

There are no National Wellbeing Outcomes implications within this report.

National Wellbeing Outcome	Implications
People are able to look after and improve their own health and wellbeing and live in good health for longer.	None
People, including those with disabilities or long term conditions or who are frail are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community	None
People who use health and social care services have positive experiences of those services, and have their dignity respected.	None
Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services.	None
Health and social care services contribute to reducing health inequalities.	None
People who provide unpaid care are supported to look after their own health and wellbeing, including reducing any negative impact of their caring role on their own health and wellbeing.	None
People using health and social care services are safe from harm.	None
People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide.	None
Resources are used effectively in the provision of health and social care services.	Effective financial monitoring processes ensure resources are used in line with the Strategic Plan to deliver services efficiently

14.0 CONSULTATION

14.1 This report has been prepared by the IJB Chief Financial Officer. The Chief Officer, the Council's Chief Financial Officer and Director of Finance NHSGGC have been consulted.

15.0 BACKGROUND PAPERS

15.1 None.

INVERCLYDE HSCP**REVENUE BUDGET 2020/21 PROJECTED POSITION****PERIOD 7: 1 April 2020 - 31 October 2020**

SUBJECTIVE ANALYSIS	Budget 2020/21 £000	Revised Budget 2020/21 £000	Projected Out-turn 2020/21 £000	Projected Over/(Under) Spend £000	Percentage Variance
Employee Costs	52,285	56,270	57,616	1,346	2.4%
Property Costs	1,095	1,108	1,248	140	12.6%
Supplies & Services	49,969	53,764	58,950	5,186	9.6%
Family Health Services	25,973	28,201	28,201	0	0.0%
Prescribing	18,535	18,508	18,508	0	0.0%
Transfer from / (to) Reserves	0	(1,914)	(1,914)	0	0.0%
Income	(3,970)	(5,460)	(6,784)	(1,324)	24.2%
Covid-19 Unallocated & Unfunded costs (net)	0	0	(4,977)	(4,977)	0.0%
HSCP NET DIRECT EXPENDITURE	143,887	150,477	150,848	371	0.2%
Set Aside	23,956	27,651	27,651	0	0.0%
HSCP NET TOTAL EXPENDITURE	167,843	178,128	178,499	371	0.2%

OBJECTIVE ANALYSIS	Budget 2020/21 £000	Revised Budget 2020/21 £000	Projected Out-turn 2020/21 £000	Projected Over/(Under) Spend £000	Percentage Variance
Strategy & Support Services	2,095	2,214	2,209	(5)	-0.2%
Older Persons	30,253	30,842	33,162	2,320	7.5%
Learning Disabilities	12,241	12,334	12,945	611	5.0%
Mental Health - Communities	6,833	7,091	6,920	(171)	-2.4%
Mental Health - Inpatient Services	9,051	9,338	10,105	767	8.2%
Children & Families	14,013	14,615	16,123	1,508	10.3%
Physical & Sensory	3,009	2,916	3,038	122	4.2%
Alcohol & Drug Recovery Service	3,490	3,739	3,582	(157)	-4.2%
Assessment & Care Management / Health & Community Care	9,867	15,583	15,496	(87)	-0.6%
Support / Management / Admin	6,318	5,663	5,060	(603)	-10.6%
Criminal Justice / Prison Service **	0	63	380	317	0.0%
Homelessness	1,095	1,097	1,823	726	66.2%
Family Health Services	25,973	28,201	28,201	0	0.0%
Prescribing	18,744	18,696	18,696	0	0.0%
Contribution to Reserves	0	(1,914)	(1,914)	0	0.0%
Covid-19 Unallocated & Unfunded costs (net)	0	0	(4,977)	(4,977)	0.0%
Unallocated Funds	905	0	0	0	0.0%
HSCP NET DIRECT EXPENDITURE	143,887	150,477	150,848	371	0.2%
Set Aside	23,956	27,651	27,651	0	0.0%
HSCP NET TOTAL EXPENDITURE	167,843	178,128	178,499	371	0.2%
FUNDED BY					
NHS Contribution to the IJB	91,598	100,289	100,289	0	0.0%
NHS Contribution for Set Aside	23,956	27,651	27,651	0	0.0%
Council Contribution to the IJB	52,289	52,102	52,102	0	0.0%
Transfer from / (to) Reserves	0	(1,914)	(1,543)	371	0.0%
HSCP NET INCOME	167,843	178,128	178,499	371	0.2%
HSCP OPERATING SURPLUS/(DEFICIT)	0	0	0	0	0.0%
Anticipated movement in reserves ***	(3,036)	(2,022)	(1,211)		
HSCP ANNUAL ACCOUNTS REPORTING SURPLUS/(DEFICIT)	(3,036)	(2,022)	(1,211)		

** Fully funded from external income hence nil bottom line position.

*** See Reserves Analysis for full breakdown

INVERCLYDE HSCP**REVENUE BUDGET 2020/21 PROJECTED POSITION****Movement since last report**

OBJECTIVE ANALYSIS	Previous Projected Outturn 2020/21 £000	Current Projected Outturn 2020/21 £000	Increase/ (Decrease) in projected spend since last report £000	Notes
Strategy & Support Services	2,266	2,209	(57)	Delay in filling vacancies and slippage on training spend
Older Persons	32,160	33,162	1,002	Movement in Covid forecast
Learning Disabilities	12,915	12,945	30	Movement in Covid forecast
Mental Health - Communities	7,071	6,920	(151)	Recruitment issues leading to additional locum spend and covid cost impacts
Mental Health - Inpatient Services	9,336	10,105	769	
Children & Families	15,447	16,123	676	Additional demand in service, partially linked to covid
Physical & Sensory	3,008	3,038	30	Movement in Covid forecast
Alcohol & Drug Recovery Service	3,505	3,582	77	Movement in Covid forecast
Assessment & Care Management / Health & Community Care	11,696	15,496	3,800	Additional Covid Spend - offset by additional funding
Support / Management / Admin	6,051	5,060	(991)	Movement in Covid forecast and delay in filling vacancies
Criminal Justice / Prison Service **	391	380	(11)	
Homelessness	1,940	1,823	(117)	Movement in Covid forecast
Family Health Services	28,194	28,201	7	
Prescribing	19,487	18,696	(791)	Movement in nationally agreed Tariff Swap
Contribution to Reserves	(38)	(1,914)	(1,876)	Health - underspend and LD Hub
Covid-19 Unallocated & Unfunded costs (net)	(1,600)	(4,977)	(3,377)	Additional Covid Funding
Unallocated Funds	0	0	0	
HSCP NET DIRECT EXPENDITURE	151,829	150,848	(980)	
Set Aside	23,956	27,651	3,695	Uplift in notional budget confirmed Nov 2020
HSCP NET TOTAL EXPENDITURE	175,785	178,499	2,715	

INVERCLYDE HSCP - COVID 19**REVENUE BUDGET 2020/21 PROJECTED POSITION****PERIOD 7: 1 April 2020 - 31 October 2020**

SUBJECTIVE ANALYSIS - COVID 19 based on November 2020 Mobilisation Plan submission and confirmed funding	Social Care Projected Out-turn 2020/21 £000	Health Projected Out-turn 2020/21 £000	TOTAL Projected Out-turn 2020/21 £000
Employee Costs	1,672	1,169	2,841
Property Costs	3	1	4
Supplies & Services	4,031	1,341	5,372
Family Health Services			0
Prescribing		0	0
Loss of Income	274		274
PROJECTED COVID RELATED NET SPEND	5,980	2,511	8,491

Summarised Mobilisation Plan	Social Care	Health	Revenue
H&SCP Costs	2020/21	2020/21	2020/21 £000
Delayed Discharge Reduction- Additional Care Home Beds	374		374
Personal protection equipment	516	29	545
Deep cleans	3	1	4
Additional staff Overtime and Enhancements	84	252	336
Additional temporary staff spend - Student Nurses & AHP		391	391
Additional temporary staff spend - Health and Support Care Workers	615		615
Additional costs for externally provided services (including PPE)	2,392		2,392
Social Care Support Fund- Costs for Children & Families Services	972		972
Mental Health Services		125	125
Additional payments to FHS contractors		351	351
Additional FHS Prescribing		-	-
Community Hubs		654	654
Loss of income	249		249
Other- Revenue Equipment and Supplies	67	87	154
Other- Homelessness and Criminal Justice	676		676
Other - Covid Vaccinations		180	180
Hospices		396	396
Staffing support, including training & staff wellbeing	6		6
Winter Planning (Flu Vaccinations)	-	45	45
Total	5,955	2,511	8,466
	Subtotal	Subtotal	Subtotal
Expected underachievement of savings (HSCP)	25		25
Total	5,980	2,511	8,491

Confirmed Scottish Government Funding to date	(5,694)	(1,221)	(6,915)
Anticipated Balance of Funding	(286)	(1,290)	(1,576)

Allocated vs Unallocated costs in the monitoring report			
Spend Allocated in Monitoring Report to specific services/cost lines	4,977	0	4,977
LMP costs vs allocated covid costs	1,003	2,511.2	3,514

SOCIAL CARE**REVENUE BUDGET 2020/21 PROJECTED POSITION****PERIOD 7: 1 April 2020 - 31 October 2020**

SUBJECTIVE ANALYSIS	Budget 2020/21 £000	Revised Budget 2020/21 £000	Projected Out-turn 2020/21 £000	Projected Over/(Under) Spend £000	Percentage Variance
SOCIAL CARE					
Employee Costs	28,573	29,895	30,689	794	2.7%
Property costs	1,090	1,103	1,243	140	12.7%
Supplies and Services	860	888	1,255	367	41.3%
Transport and Plant	376	376	280	(96)	-25.5%
Administration Costs	755	783	774	(9)	-1.1%
Payments to Other Bodies	41,285	41,355	46,831	5,476	13.2%
Resource Transfer	(16,723)	(18,294)	(18,294)	0	0.0%
Income	(3,927)	(4,004)	(5,328)	(1,324)	33.1%
Balance of Covid-19 unallocated costs (net)	0	0	1,003	1,003	0.0%
Anticipated Covid Funding		0	(5,980)	(5,980)	0.0%
Transfer to Earmarked Reserves		(116)	(116)	0	0.0%
SOCIAL CARE NET EXPENDITURE	52,289	51,986	52,357	371	0.7%

OBJECTIVE ANALYSIS	Budget 2020/21 £000	Revised Budget 2020/21 £000	Projected Out-turn 2020/21 £000	Projected Over/(Under) Spend £000	Percentage Variance
SOCIAL CARE					
Strategy & Support Services	1,590	1,690	1,685	(5)	-0.3%
Older Persons	30,253	30,842	33,162	2,320	7.5%
Learning Disabilities	11,732	11,783	12,394	611	5.2%
Mental Health	3,654	3,696	3,740	44	1.2%
Children & Families	10,766	10,933	12,441	1,508	13.8%
Physical & Sensory	3,009	2,916	3,038	122	4.2%
Alcohol & Drug Recovery Service	1,799	1,771	1,614	(157)	-8.9%
Business Support	2,788	2,900	2,849	(51)	-1.8%
Assessment & Care Management	2,326	2,706	2,619	(87)	-3.2%
Criminal Justice / Scottish Prison Service	0	63	380	317	0.0%
Resource Transfer	(16,723)	(18,294)	(18,294)	0	0.0%
Homelessness	1,095	1,097	1,823	726	66.2%
Balance of Covid-19 unallocated costs (net)	0	0	1,003	1,003	0.0%
Anticipated Covid Funding			(5,980)	(5,980)	0.0%
Transfer to Earmarked Reserves		(116)	(116)	0	0.0%
SOCIAL CARE NET EXPENDITURE	52,289	51,986	52,357	371	0.7%

COUNCIL CONTRIBUTION TO THE IJB	Budget 2020/21 £000	Revised Budget 2020/21 £000	Projected Out-turn 2020/21 £000	Projected Over/(Under) Spend £000	Percentage Variance
Council Contribution to the IJB	52,289	52,102	52,102	0	
Transfer from / (to) Reserves	0	(116)	255	371	

SOCIAL CARE**PERIOD 7: 1 April 2020 - 31 October 2020**

Extract from report to the Health & Social Care Committee

This is the current projected overspend including covid related pressures contained within the Covid 19 Local Mobilisation Plan.

Children & Families: Projected £1,373,000 (12.56%) overspend

Included in the projection are Covid costs of £909,000, of which £511,000 relates to 4 residential placements and £431,000 relates to additional staffing costs, of which £33,000 can be funded via Attainment Grant funding. The Covid staffing costs includes the cost of the temporary children's unit currently caring for children who would ordinarily be looked after in foster placements.

The balance of the projected overspend of £599,000 primarily relates to:

- An overspend of £113,000 within Employee costs within Residential, an increase of £38,000 from the position reported at period 5. This is due to a review of costs previously shown as Covid-related.
- External Residential Placements, which is showing a net overspend against Core of £285,000, reduction of £397,000 since period 5, which is now included in the Covid costs figure of £909,000 above. Included the projected outturn, there are currently 13 children being looked after in a mix of residential accommodation, secure accommodation and at home to prevent residential placements.
- Fostering, Adoption and Kinship, which is showing an increased overspend of £104,000, up £34,000 from period 5 due to minor movements across the 3 headings.

Where possible any over/underspends on adoption, fostering, kinship and children's external residential accommodation and continuing care are transferred from/to the earmarked reserves at the end of the year. These costs are not included in the above figures.

Movement in Earmarked Reserve:

The opening balance on the children's external residential accommodation, adoption, fostering and kinship reserve is £325,000. At period 7 there is a projected net overspend of £714,000 of which £325,000 would be funded from the earmarked reserve at the end of the year if continues, leaving an overspend against Core of £389,000 across these services. The Service is currently investigating costs to identify whether increased costs are Covid related.

The opening balance on the continuing care reserve is £565,000. At period 7 there is a projected net overspend of £108,000 which would be funded from the earmarked reserve at the end of the year.

Criminal Justice: Projected £317,000 (16.03%) overspend

As reported at period 7, the projected overspend primarily relates to slightly reduced client package costs of £337,000 shared with Learning Disabilities. It is anticipated that these costs will reduce further in 2020/21 and this will be reported to the next Committee, once Officers are able to project with better certainty.

It should be noted that the percentage variance is based on the grant total not the net budget.

Older People: Projected £2,320,000 (8.72%) overspend

Included in the projection are Covid costs of £3,040,000, which relate to the 12-week block purchase of 32 care home beds, care home sustainability payments, additional external homecare costs based on payment for planned hours, additional Personal Protective Equipment (PPE) & equipment costs, loss of income and additional staffing costs within Homecare.

The residual projected underspend of £720,000 is £9,000 lower than the position reported at period 5 and mainly comprises:

An underspend of £558,000 within Residential and Nursing Care, up £159,000. This is because of the projected increase in the number of beds from the position reported at period 5 did not fully materialize and some of the new beds are residential as opposed to nursing. The projection is based on 525 beds for the remainder of the year.

An unchanged underspend of £419,000 within External Homecare, based on the invoices received, projected up to the end of the year together with a reduced adjustment of £40,000, which reflects that service delivery is anticipated to continue increasing for the remainder of the year.

An overspend of £77,000 within other client commitments, which is an increase of £32,000 from the position reported at period 5 as a result of a new package and other minor changes.

A projected net overspend of £146,000 on Employee Costs within Homecare, an increase of £79,000 from the position reported at period 5. £53,000 relates to a reduction in vacancies and increased holiday pay, with the balance of £27,000 due to increased spend on sessionals, overtime & travel as a result of both vacancies and covering packages that external homecare providers are unable to. This projected overspend is more than offset by the projected underspend on External Homecare above.

Historically, any over/underspends on residential & nursing accommodation are transferred from/to the earmarked reserve at the end of the year. These costs are then not included in the above figures. The balance on the reserve is £223,000. However, as at period 7, Officers are not showing any transfer of the residential & nursing underspend to the earmarked reserve. £400,000 of the underspend on care home beds has been contributed towards Covid costs, there is also the potential that the £558,000 may need to be used to fund the additional care home costs which would change the projected outturn in future reports.

Learning Disabilities: Projected £611,000 (7.50%) overspend

Included in the projection are Covid costs of £187,000 which relate to lost day services income and additional staffing costs.

The residual projected overspend £424,000 mainly comprises:

An increased projected overspend of £693,000 within Client commitments with the increase of £77,000 since period 5 mainly due to 1 significant change in package. Planned reviews may not now take place this financial year due to Covid and therefore it is unlikely to be any reduction in package costs in 2020/21.

- A slightly reduced projected underspend of £199,000 on employee costs, down £5,000 on the position reported at period 5.
- An increased underspend of £106,000 in the projected underspend on Transport within Day Services, up £25,000 since the position reported at period 5, reflecting that there will now be very little external transport usage in 2020/21.

Physical & Sensory: Projected £122,000 (4.98%) overspend

Included in the projection are Covid costs of £29,000 which relate to additional staffing costs and lost income.

The residual overspend of £93,000 in the main comprises an overspend of £78,000 within Client commitments, up £67,000 since period 5, as a result of a new care package and other minor changes to packages and provider rates.

Assessment and Care Management: Projected £87,000 (4.29%) underspend

Included in the projection are Covid costs of £22,000 which relate to additional staffing costs.

The residual projected underspend of £109,000 in the main comprises an underspend of £117,000 within employee costs, a reduction in spend of £33,000 since period 5, and is due vacancies and other minor movements.

Mental Health: Projected £44,000 (2.91%) overspend

The projected overspend is £82,000 lower than reported at period 5 and comprises:

- As reported at period 5, a £155,000 projected overspend on agency staff costs as approved by the CMT in 2019/20
- An increased underspend of £38,000, up £20,000 since period 5 as a result of minor package changes.
- A full underspend of £40,000 against Dementia Care, as no spend is now anticipated against this.

Alcohol & Drugs Recovery Service: Projected £157,000 (15.84%) underspend

The projected underspend is £157,000 an increase £82,000 from that reported at period 5 at £75,000 and comprises an increased underspend of £157,000 on employee costs, up £68,000 from the position reported at period 5 of which £22,000 is due to slippage in filling vacancies and £44,000 relates to an externally funded post that won't be filled in 2020-21.

Homelessness: Projected £726,000 (66.14%) overspend

Included in the projection are Covid costs of £712,000 which relates to the costs of additional Temporary Furnished Flats in connection with both the Covid-related reduced capacity of the Inverclyde Centre and the early release of prisoners as well as additional costs of B&Bs.

The residual overspend of £14,000 comprises minor overspends and underspends across Homelessness.

Planning, Health Improvement & Commissioning: Projected £5,000 (0.30%) underspend

Included in the projection are Covid costs of £34,000 which relate to additional staffing costs

The residual projected underspend of £31,000, a reduction in spend of £26,000 since period 5, which comprises:

- A projected underspend of £26,000 against Training as it is not currently feasible for face to face courses or training to take place.

Business Support: Projected £51,000 (1.52%) underspend

Included in the projection are Covid costs of £44,000 which relate to additional staffing costs.

The residual projected underspend of £95,000, an increase in the underspend of £51,000 since period 5, in the main comprises:

- a reduced underspend of £95,000 on employee costs, down £20,000 from the position reported at period 5 due to the use of additional hours to cover vacancies.
- An increase of £61,000 in projected income reflecting the full receipt of the recharge from Criminal Justice.

HEALTH**REVENUE BUDGET 2020/21 PROJECTED POSITION****PERIOD 7: 1 April 2020 - 31 October 2020**

SUBJECTIVE ANALYSIS	Budget 2020/21 £000	Revised Budget 2020/21 £000	Projected Out-turn 2020/21 £000	Projected Over/(Under) Spend £000	Percentage Variance
HEALTH					
Employee Costs	23,712	26,375	26,927	552	2.1%
Property	5	5	5	0	0.0%
Supplies & Services	6,693	10,362	9,810	(552)	-5.3%
Family Health Services (net)	25,973	28,201	28,201	0	0.0%
Prescribing (net)	18,535	18,508	18,508	0	0.0%
Resource Transfer	16,723	18,294	18,294	0	0.0%
Income	(43)	(1,456)	(1,456)	0	0.0%
Transfer to Earmarked Reserves	0	(1,798)	(1,798)	0	0.0%
HEALTH NET DIRECT EXPENDITURE	91,598	98,491	98,491	0	0.0%
Set Aside	23,956	27,651	27,651	0	0.0%
HEALTH NET DIRECT EXPENDITURE	115,554	126,142	126,142	0	0.0%

OBJECTIVE ANALYSIS	Budget 2020/21 £000	Revised Budget 2020/21 £000	Projected Out-turn 2020/21 £000	Projected Over/(Under) Spend £000	Percentage Variance
HEALTH					
Children & Families	3,247	3,682	3,682	0	0.0%
Health & Community Care	7,541	12,877	12,877	0	0.0%
Management & Admin	3,530	2,763	2,211	(552)	-20.0%
Learning Disabilities	509	551	551	0	0.0%
Alcohol & Drug Recovery Service	1,691	1,968	1,968	0	0.0%
Mental Health - Communities	3,179	3,395	3,180	(215)	-6.3%
Mental Health - Inpatient Services	9,051	9,338	10,105	767	8.2%
Strategy & Support Services	505	524	524	0	0.0%
Family Health Services	25,973	28,201	28,201	0	0.0%
Prescribing	18,744	18,696	18,696	0	0.0%
Unallocated Funds/(Savings)	905	0	0	0	0.0%
Transfer from / (to) Reserves	0	(1,798)	(1,798)	0	0.0%
Resource Transfer	16,723	18,294	18,294	0	0.0%
HEALTH NET DIRECT EXPENDITURE	91,598	98,491	98,491	0	0.0%
Set Aside	23,956	27,651	27,651	0	0.0%
HEALTH NET DIRECT EXPENDITURE	115,554	126,142	126,142	0	0.0%

HEALTH CONTRIBUTION TO THE IJB	Budget 2020/21 £000	Revised Budget 2020/21 £000	Projected Out-turn 2020/21 £000	Projected Over/(Under) Spend £000	Percentage Variance
NHS Contribution to the IJB	115,554	127,940	127,940	0	
Transfer from / (to) Reserves	0	(1,798)	(1,798)	0	

HEALTH**REVENUE BUDGET 2020/21 PROJECTED POSITION****PERIOD 7: 1 April 2020 - 31 October 2020**

Significant Projected Variances	Over/ (Underspend) £000	Notes
MH Adult Inpatients	767	Overspend slightly higher than prev years due to high cost locum cover, to be offset non recurringly by planned underspends on MH Community underspend, additional MH funding agreed at Jan 2020 IJB and early delivery of prior year Management & Admin saving along with £290k funded through the MH Transformation Fund
MH Adult Community	(215)	
Management & Admin	(552)	Saving agreed but not required for 19/20 £239k when the Health uplift was increased after the budget was set. Agreed to keep it to cover other pressures eg MH Inpatients. Balance from underspend due to delay in filling vacancies
Sub Total Over/(Under)spend	0	

Proposed transfers from the following services to Earmarked Reserves in year	Over/ (Underspend) £000	Notes
Alcohol & Drug Recovery Service	(305)	Delay in filling vacancies pending Review being finalised
Adult Community	(163)	Delay in filling vacancies £85k in Rehab rest small amounts across various teams
Children's Services	(163)	Delay in filling vacancies plus additional funding received in year
Learning Disabilities	(29)	Delay in filling vacancies
Business Support	(378)	£89k of this is earmarked for the new Health Centre rest is delay in filling vacancies
PHI & Strategy	(36)	Vacancies eg OD post not filled
GP Premises Improvement	(24)	New money no spend to date
Prescribing	(700)	Still awaiting info re Brexit impact and final projections but latest figures suggest a £0.45-0.95m underspend by yearend
Transfer to Reserves	1,798	Balance of underspends transferred to EMRs as outlined below
TOTAL Over/(Under)spend	0	

Proposed Health EMR In Year Allocations

Scot Govt Funded Projects EMRs		
PCIP	0	These funds are ringfenced by Scottish Govt. At present the services advise there will be no underspend at the yearend as only planned spend funding has been drawn down
ADP	0	
Action 15	0	
Other EMRs		
MH Transformation	300	Funding for MH services to support 5 year strategy and local MH planning. This will take the total fund to £0.620m or 3.7% of the current revenue budget
Staff Learning & Development Fund	204	The IJB agreed to put aside these funds from in year turnover savings to provide £100k of funding for the Staff Learning & Development Funding and the year 2 funding for the District Nursing Training Programme agreed in June 2020
Supplementary Fixed Term Staffing Fund	400	Transfer from turnover savings to create a fund to cover any short term shortfalls in additional external funding for fixed term posts eg Covid posts in the event that LMP funding does not cover the balance
Homelessness	200	Funding to support delivery of the Rapid Rehousing Transition Plan over the next 2 years
Primary Care Support	24	Projected underspend on GP premises funding received in year
Prescribing Smoothing Reserve	400	Prescribing underspend budget smoothing reserve for this volatile budget through the first year of Brexit to help reduce requirement for recurrent budget funding. This will create a total smoothing reserve of £0.7m or 3.7% of the current revenue budget
Transformation Fund	270	Balance of funding to replenish the Transformation Fund and support future tests of change and service redesigns
	1,798	

Budget Movements 2020/21

Appendix 4

Inverclyde HSCP Service	Approved Budget		Movements			Transfers (to)/ from Earmarked Reserves £000	Revised Budget
	2020/21 £000	Inflation £000	Virement £000	Supplementary Budgets £000	2020/21 £000		
Children & Families	14,013	0	372	230	0	14,615	
Criminal Justice	0	0	0	63	0	63	
Older Persons	30,253	0	589	0	0	30,842	
Learning Disabilities	12,241	0	81	12	(116)	12,218	
Physical & Sensory	3,009	0	(93)	0	0	2,916	
Assessment & Care Management/ Health & Community Care	9,867	0	(802)	6,518	0	15,583	
Mental Health - Communities	6,833	0	153	105	0	7,091	
Mental Health - In Patient Services	9,051	0	314	(27)	0	9,338	
Alcohol & Drug Recovery Service	3,490	0	(15)	264	0	3,739	
Homelessness	1,095	0	2	0	0	1,097	
Strategy & Support Services	2,095	0	69	50	0	2,214	
Management, Admin & Business Support	6,318	0	(837)	182	0	5,663	
Family Health Services	25,973	0	330	1,898	0	28,201	
Prescribing	18,744	0	743	(791)	0	18,696	
Resource Transfer	0	0	0	0	0	0	
Unallocated Funds *	905	0	(905)	0	0	0	
Totals	143,887	0	0	8,504	(116)	152,275	

* Unallocated Funds are budget pressure monies agreed as part of the budget which at the time of setting had not been applied across services eg pay award etc

Virement Analysis

	Increase Budget £000	(Decrease) Budget £000
Budget Virements since last report		
Budget Virements agreed in previous reports	5,487	5,487
<u>Health Budgets - Council/Health recharge adjustments</u>		
Management & Admin		60
Learning Disabilities	3	
Alcohol & Drugs Recovery Service		41
Mental Health - Communities	40	
Mental Health - Inpatients	29	
Strategy & Support Services	29	
<u>Social Care Budget - Reallocation to cover Children's Services Residential Costs</u>		
Management, Admin & Business Support		135
Children & Families	135	
	11,210	11,210

Supplementary Budget Movement Detail

£000

£000

Criminal Justice		63
Additional in year CJ Funding	63	
Children & Families		230
Non recurring Outline Framework funding CAMHS	200	
Non Recurring Breastfeeding funding	30	
Alcohol & Drugs Recovery Service		264
ADP Funding 2020/21	186	
Non recurring Drug Death Taskforce funding	78	
Health & Community Care		6,518
Additional SG funding for Hospices partially offset by RT adjust	326	
Non recurring adjustment	786	
PCIP Baseline Pharmacy Funding	146	
Covid 19 funding	5,260	
Learning Disabilities		12
Transfer of Non recurring funding from East Ren HSCP	12	
Mental Health - Communities		105
Action 15 funding 2020/21	144	
Transfer to Central Liaison Team	(39)	
Mental Health - Inpatient Services		(27)
Transfer to Central Liaison Team	(27)	
Strategy & Support Services		50
Non recurring funding for Eat Up project	50	
Management & Admin		182
Balance of uplift	407	
GP Premises funding	25	
Transfer to SIMD Deprivation Fund withing Inverclyde Council	(250)	
Prescribing		(791)
Tariff Reduction	(791)	
Family Health Services		1,898
Non Cash Limited Budget Adjustment	1,049	
Additional in year non recurring FHS funding	849	
		8,504

INVERCLYDE INTEGRATION JOINT BOARD

DIRECTION

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)
 (SCOTLAND) ACT 2014

THE INVERCLYDE COUNCIL is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB's Strategic Plan and existing operational arrangements pending future directions from the IJB. All services must be procured and delivered in line with Best Value principles.

Services: All services listed in Annex 2, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 2, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

SUBJECTIVE ANALYSIS	Budget 2020/21 £000
SOCIAL CARE	
Employee Costs	29,895
Property costs	1,103
Supplies and Services	888
Transport and Plant	376
Administration Costs	783
Payments to Other Bodies	41,355
Income (incl Resource Transfer)	(22,298)
Social Care Transfer to EMR	(116)
SOCIAL CARE NET EXPENDITURE	51,986
Health Transfer to EMR	(1,798)

OBJECTIVE ANALYSIS	Budget 2020/21 £000
SOCIAL CARE	
Strategy & Support Services	1,690
Older Persons	30,842
Learning Disabilities	11,783
Mental Health	3,696
Children & Families	10,933
Physical & Sensory	2,916
Alcohol & Drug Recovery Service	1,771
Business Support	2,900
Assessment & Care Management	2,706
Criminal Justice / Scottish Prison	63
Change Fund	0
Homelessness	1,097
Social Care Transfer to EMR	(116)
Resource Transfer	(18,294)
SOCIAL CARE NET EXPENDITURE	51,986

This direction is effective from 25 January 2021.

INVERCLYDE INTEGRATION JOINT BOARD

DIRECTION

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)
(SCOTLAND) ACT 2014

GREATER GLASGOW & CLYDE NHS HEALTH BOARD is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB’s Strategic Plan and existing operational arrangements pending future directions from the IJB. All services must be procured and delivered in line with Best Value principles.

Services: All services listed in Annex 1, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 1, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

SUBJECTIVE ANALYSIS	Budget 2020/21 £000
HEALTH	
Employee Costs	26,375
Property costs	5
Supplies and Services	10,362
Family Health Services (net)	28,201
Prescribing (net)	18,508
Resources Transfer	18,294
Unidentified Savings	0
Income	(1,456)
Transfer to EMR	(1,798)
HEALTH NET DIRECT EXPENDITURE	98,491
Set Aside	27,651
NET EXPENDITURE INCLUDING SCF	126,142

OBJECTIVE ANALYSIS	Budget 2020/21 £000
HEALTH	
Children & Families	3,682
Health & Community Care	12,877
Management & Admin	2,763
Learning Disabilities	551
Alcohol & Drug Recovery Service	1,968
Mental Health - Communities	3,395
Mental Health - Inpatient Services	9,338
Strategy & Support Services	524
Family Health Services	28,201
Prescribing	18,696
Unallocated Funds/(Savings)	0
Transfer to EMR	(1,798)
Resource Transfer	18,294
HEALTH NET DIRECT EXPENDITURE	98,491
Set Aside	27,651
NET EXPENDITURE INCLUDING SCF	126,142

This direction is effective from 25 January 2021.

INVERCLYDE HSCP
TRANSFORMATION FUND
PERIOD 7: 1 April 2020 - 31 October 2020

Total Fund at 31/03/20	2,045,000
Balance Committed to Date*	1,596,007
Balance Still to be Committed	448,993

Current Projects List

*Balance Committed to Date excludes commitments funded in previous financial years

Ref	Project Title	Service Area	Approved IJB/TB	Date Approved	Updated Agreed Funding	Spend to date	Balance to spend
008	Sheltered Housing Support Services Review	Health & Community Care	TB	27/09/18	59,370	34,078	25,292
009	Equipment Store Stock system - £50k capital plus 1.5 yrs revenue costs up to £20k in total	ICIL	TB	09/01/19	70,000	0	70,000
010	TEC Reablement & Support to live independently. 6 month extension of H Grade post approved.	Homecare	TB	09/01/19	22,340	14,558	7,782
012	Long Term Conditions Nurses - 2 x 1wte Band 5 nurses to cover Diabetes, COPD and Hyper-tension for a fixed term of one year.	Community Nursing	IJB	29/01/19	129,300	115,500	13,800
013	Match Funding for CORRA bid to pilot 7 day Addictions Services	Addictions	IJB	29/01/19	150,000	0	150,000
014	Localities Engagement Officer - 2 years	Strategy & Support Services	IJB	27/03/19	121,000	89,922	31,078
015	Young Persons Engagement Officer 18 mths Big Actions 1 & 2	Children's Services	TB	27/03/19	51,100	0	51,100
018	CAMHS - Tier 3 service development - for 3 years	Children & Families	IJB	24/06/19	150,000	50,400	99,600
020	Legal Support - Commissioning £85k over 2 years. Approved 1 year initially.	Quality & Development	TB	01/05/19	42,500	5,729	36,771
022	SWIFT replacement project - extension of Project Manager contract by one year and employ fixed term Project Assistant for one year plus additional 12 mth extension agreed by IJB Sept 2020	Quality & Development	TB	26/06/19	225,240	85,112	140,128
024	Temp HR advisor for 18 months to support absence management process and occupational health provision within HSCP.	Strategy & Support Services	TB	26/06/19	66,000	33,328	32,672

Ref	Project Title	Service Area	Approved IJB/TB	Date Approved	Updated Agreed Funding	Spend to date	Balance to spend
027	Autism Clinical/Project Therapist	Specialist Children's Services	TB	28/08/19	90,300	60,200	30,100
028	Strategic Commissioning Team - progressing the priorities on the Commissioning List.	Strategy & Support Services	IJB	10/09/19	200,000	29,174	170,826
030	Care Navigator Posts - Hard Edges report	Homelessness	IJB	17/03/20	100,000	0	100,000
031	Proud2Care - 18 months	Health & Community Care	IJB	23/06/20	110,000	0	110,000
032	SWIFT - deferred P&I Team Saving	Performance & Info	IJB	17/03/20	114,000	0	114,000

APPENDIX 7

INVERCLYDE HSCP - CAPITAL BUDGET 2020/21

PERIOD 7: 1 April 2020 - 31 October 2020

<u>Project Name</u>	<u>Est Total Cost</u> <u>£000</u>	<u>Actual to 31/3/20</u> <u>£000</u>	<u>Revised Budget 2020/21</u> <u>£000</u>	<u>Actual YTD</u> <u>£000</u>	<u>Est 2021/22</u> <u>£000</u>	<u>Est 2022/23</u> <u>£000</u>	<u>Future Years</u> <u>£000</u>
SOCIAL CARE							
Crosshill Children's Home Replacement	1,730	1,359	90	20	281	0	0
New Learning Disability Facility	7,400	0	75	0	3,825	3,500	0
SWIFT Upgrade	1,101	0	0	0	1,101	0	0
Completed on site	23	0	10	8	13	0	0
Social Care Total	10,254	1,359	175	28	5,220	3,500	0
HEALTH							
Health Total	0	0	0	0	0	0	0
Grand Total HSCP	10,254	1,359	175	28	5,220	3,500	0

EARMARKED RESERVES POSITION STATEMENT

APPENDIX 8

INVERCLYDE HSCP

PERIOD 7: 1 April 2020 - 31 October 2020

<u>Project</u>	<u>Lead Officer/ Responsible Manager</u>	<u>Planned Use By Date</u>	<u>b/f Funding 2019/20 £000</u>	<u>New Funding 2020/21 £000</u>	<u>Total Funding 2020/21 £000</u>	<u>YTD Actual 2020/21 £000</u>	<u>Projected Net Spend 2020/21 £000</u>	<u>Amount to be Earmarked for Future Years £000</u>	<u>Lead Officer Update</u>
Scottish Government Funding			749	4,535	5,284	749	5,284	0	
Mental Health Action 15	Anne Malarkey	31/03/2021	132		132	132	132	0	In year underspend will be carried forward earmarked for use on this SG initiative. Slippage in year will be carried forward
ADP	Anne Malarkey	31/03/2021	93		93	93	93	0	In year underspend will be carried forward earmarked for use on this SG initiative. Slippage in year will be carried forward
Covid-19	Louise Long	31/07/2021	400	4,535	4,935	400	4,935	0	SG funding received to date for Covid-19 costs
PCIP	Allen Stevenson	31/03/2021	124		124	124	124	0	In year underspend will be carried forward earmarked for use on this SG initiative. Slippage in year will be carried forward
Existing Projects/Commitments			3,259	1,293	4,552	896	2,109	2,443	
Self Directed Support	Alan Brown	31/03/2021	43		43	0	43	0	This supports the continuing promotion of SDS.
Growth Fund - Loan Default Write Off	Lesley Aird	ongoing	24		24	0	1	23	Loans administered on behalf of DWP by the credit union and the Council has responsibility for paying any unpaid debt. This requires to be kept until all loans are repaid and no debts exist. Minimal use anticipated in 2020/21.
Integrated Care Fund	Allen Stevenson	ongoing	81	959	1,040	536	946	94	The Integrated Care Fund funding has been allocated to a number of projects, including reablement, housing and third sector & community capacity projects.
Delayed Discharge	Allen Stevenson	ongoing	195	334	529	196	482	47	Delayed Discharge funding has been allocated to specific projects, including overnight home support and out of hours support.
CJA Preparatory Work	Sharon McAlees	31/03/2021	112		112	29	68	44	Funding for temp SW within prison service £65k, fund shortfall of Community Justice Co-ordinator post £11k, Whole Systems Approach 20/21 £19k and £17k to contribute to unpaid works supervisor post
Continuing Care	Sharon McAlees	ongoing	565		565	62	102	463	To address continuing care legislation.
Rapid Rehousing Transition Plan (RRTP)	Anne Malarkey	31/03/2021	83		83	0	45	38	RRTP funding. Proposals taken to CMT and Committee - progression of Housing First approach and the requirement for a RRTP partnership officer to be employed, post was approved by CMT, March 2020. Expect post to be filled in 20/21. Some slippage in 2020-21 due to Covid - full spend is reflected in 5 year RRTP plan
Dementia Friendly Inverclyde	Anne Malarkey	tbx once Strategy finalised	100		100	0	100	0	Now linked to the test of change activity associated with the new care co-ordination work.
Primary Care Support	Allen Stevenson	31/03/2021	272		272	21	42	230	Funding for GP premises spend etc carried forward at yearend. Expected to be used
Contribution to Partner Capital Projects	Lesley Aird	ongoing	632		632		41	591	
LD Redesign	Allen Stevenson	31/03/2021	352		352	5	74	278	Balance of original £100k approved for spend to be spent in 2020/21. No further expenditure anticipated in year due to Covid.
Older People WiFi	Allen Stevenson	31/03/2021	20		20	13	20	0	Quotes being sought. Will be fully spent.
Refugee Scheme	Sharon McAlees	31/03/2025	432		432	17	50	382	Funding to support Refugees placed in Inverclyde. Funding extends over a 5 year support programme. We anticipate further increasing this balance in 2020/21 due to the front-end loading of the income received from the Home Office.

<u>Project</u>	<u>Lead Officer/ Responsible Manager</u>	<u>Planned Use By Date</u>	<u>b/f Funding 2019/20 £000</u>	<u>New Funding 2020/21 £000</u>	<u>Total Funding 2020/21 £000</u>	<u>YTD Actual 2020/21 £000</u>	<u>Projected Net Spend 2020/21 £000</u>	<u>Amount to be Earmarked for Future Years £000</u>	<u>Lead Officer Update</u>
CAMHS Post	Sharon McAlees	31/03/2022	90		90	17	33	57	Funding agreed by the IJB for a 2 year CAHMS post
Tier 2 School Counselling	Sharon McAlees	31/03/2024	258		258	0	62	196	EMR covers the contract term - potentially to 31 July 2024, if 1 year extension taken. Contract commences 1 August 2020 thus no use of EMR anticipated in 2020-21.
Transformation Projects			2,853	0	2,853	319	864	1,989	
Transformation Fund	Louise Long	ongoing	2,045		2,045	277	532	1,513	The impact of covid has delayed some spend against this project
Mental Health Transformation	Louise Long	ongoing	610		610		290	320	Reserve being used to support MH Inpatient staffing through additional posts and locum cover as previously agreed
Addictions Review	Anne Malarkey	31/03/2022	198		198	42	42	156	The impact of covid has delayed some spend against this project
Budget Smoothing			848	54	902	25	379	523	
C&F Adoption, Fostering Residential Budget Smoothing	Sharon McAlees	ongoing	325		325	0	325	0	This reserve is used to smooth the spend on children's residential accommodation, adoption, fostering & kinship costs over the years. Projection assumes EMR will be fully utilised in year
Residential & Nursing Placements	Allen Stevenson	ongoing	223		223	0	0	223	No use of this reserve anticipated at this time in 2020-21
Advice Services	Lesley Aird	31/03/2022	0	54	54	25	54	0	Smoothing reserve to aid the £105k 19/20 savings within advice service to be fully achieved by 21/22
Prescribing	Louise Long	ongoing	300		300	0	0	300	Smoothing reserve to cover any one off above budget costs within the Prescribing Budget such as short supply issues.
TOTAL EARMARKED			7,709	5,882	13,591	1,989	8,636	4,955	
UN-EARMARKED RESERVES									
General			741		741			741	
			741	0	741	0	0	741	
Planned transfer to reserves In Year Surplus/(Deficit) going to/(from) reserves				1,914	1,914			1,914	(371)
TOTAL IJB RESERVES			8,450	7,796	16,246	1,989	8,636	7,239	

b/f Funding 8,450
 Earmark to be carried forward 7,239
 Projected Movement in Reserves **(1,211)**